

# APPLICATION FORM – INDIVIDUAL

## APPLICATION PROCESS

Any capitalised terms not defined herein will have the meaning ascribed to them in the prospectus (the "**Prospectus**") of Ranmore Global Equity Fund Plc (the "**Fund**") which is available at [www.ranmorefunds.com](http://www.ranmorefunds.com)

1. (For UK investors only) Read and consider the Prospectus and the Key Investor Information Document ("KIID")
2. Read and consider the packaged retail and insurance-based investment products key information document ("PRIIPs KID")
3. Collate the applicable client verification requirements (refer to page 9 of this form).
4. Complete the Source of Funds and Source of Wealth Declaration Form (beginning on page 12)
5. Complete and sign this Application Form and the attached FATCA and CRS self-certification form.
6. The completed Application Form\* and the client verification documentation (noted in the Appendices) must be sent to Apex at [apexta@apexgroup.com](mailto:apexta@apexgroup.com) by the Cut-Off Time. This may be in pdf format.  
  
\*At your earliest convenience following confirmation from Apex that your account is open, please post the original version ("wet ink version") of this completed Application Form to Apex at the following address. Please note that any redemption payments in the future cannot be made until Apex is in receipt of the wet ink version of this Application Form.  
  
Ranmore Client Services Team,  
C/O Apex Fund Services (Ireland) Limited,  
2<sup>nd</sup> Floor, Block 5,  
Irish Life Centre,  
Abbey Street,  
Dublin,  
Ireland  
D01 P767  
  
South African investors please refer to Appendix 2 section for relevant address(es).
7. Once Apex has completed its review, you will be issued with a six-digit account number and a Contract Note evidencing your shares have dealt.
8. Pay the subscription amount by the Settlement Date by bank transfer to the correct currency account as specified in the section of the Application Form entitled 'Bank remittance information'.
9. Subsequent applications to purchase Shares in the Fund (i.e., subsequent to this Application Form) may, by the Cut-Off Time, be sent to Apex at the above address or via the following methods:
  - By email of a 'Form for Additional Subscriptions' (available at [www.ranmorefunds.com](http://www.ranmorefunds.com)) to [apexta@apexgroup.com](mailto:apexta@apexgroup.com);
  - By fax to +353 1 411 2948; or
  - Via Calastone provided that the Administrator has confirmed it can accept instructions via Calastone. Please liaise with the Administrator via the aforementioned contact details to confirm.
10. The Manager can be contacted at: Carne Global Fund Managers (Ireland) Limited, 55 Charlemont Place, Dublin, D02 F985, Ireland.

Appended below this Application Form is a FATCA and CRS self-certification form.

The Fund has engaged Apex Fund Services (Ireland) Limited ("**Administrator**" or "**Apex**") to manage the application process.

Telephone: +353 1 411 2949

Email: [apexta@apexgroup.com](mailto:apexta@apexgroup.com)

Fax: +353 1 411 2948

The applicant is strongly recommended to read and consider the Prospectus, (for UK investors only) the KIID, and PRIIPs KID, before completing this Application Form. By completing and signing this Application Form, you will be deemed to have received and read the Prospectus, (for UK investors only) the KIID, and the PRIIPs KID.

This Application Form, once duly completed and signed by you and accepted by or on behalf of the Fund, constitutes a binding and legal document between the Fund and you and forms the basis on which you agree to make and invest your commitment and your subscription for Shares.

INVESTOR	
NAME	
COUNTRY*	
CITIZENSHIP	
PASSPORT NUMBER	
DATE & PLACE OF BIRTH	
RESIDENTIAL ADDRESS	
TELEPHONE NUMBER	
EMAIL ADDRESSES (PLEASE SEPARATE BY COMMA)	
SOURCE OF FUNDS (i.e., BANK OR BROKER ACCOUNT DETAILS)	
ADDITIONAL INVESTOR (FOR JOINT APPLICATION)	
NAME	
COUNTRY*	
CITIZENSHIP	
PASSPORT NUMBER	
DATE & PLACE OF BIRTH	
RESIDENTIAL ADDRESS	
TELEPHONE NUMBER	
EMAIL ADDRESSES (PLEASE SEPARATE BY COMMA)	
SOURCE OF FUNDS (i.e., BANK OR BROKER ACCOUNT DETAILS)	
Please note that where an additional Applicant is involved this legally vests ownership with both parties jointly. In all instances BOTH signatures are required on any instruction. Applicants are urged to familiarise themselves with the implications of such joint ownership on death of one or both parties.	

\*Please note this is the country in which you are situated and from where your investment activity is initiated. Typically, this is the jurisdiction of your primary residence, but may not always be the case. The rules regarding the marketing of investment funds in different jurisdictions may vary widely and be complex. For EU jurisdictions, the process involves registration of the Fund with the Central Bank for marketing in the relevant EU jurisdictions (the Fund has not yet been registered for marketing in any EU jurisdiction). For non-EU jurisdictions, the process normally requires the Fund to be registered with the financial services regulator of the relevant non-EU jurisdiction prior to the marketing. If you are situated in a jurisdiction (either EU or non-EU) in which the Fund has yet to receive approval to market, it might not be possible to proceed with your account opening, unless (subject to applicable rules in the relevant jurisdiction) you have requested to subscribe into the Fund solely at your own exclusive initiative, in which case, you will be requested to complete a confirmation to that effect.

### INVESTMENT COMMITMENT AND SUBSCRIPTION

I hereby commit to invest in the Fund the amount indicated in the table below and the currency in which I wish to subscribe and undertake to make available and invest my commitment by way of subscription for Shares, subject to, and in accordance with, the terms and conditions set out in this Application Form and the Prospectus. Subscription payments, net of all bank charges, must be received in cleared funds by the Settlement Date.

SHARE CLASS	CURRENCY	ISIN	AMOUNT (USD, GBP, EUR)	AMOUNT IN WORDS
USD INVESTOR	USD	IE00B746L328		
USD INSTITUTIONAL	USD	IE000CSTCY83		
GBP INVESTOR	GBP	IE00B61ZVB30		
GBP INSTITUTIONAL	GBP	IE000WSZ17Z4		
EUR INVESTOR	EUR	IE00B6ZCS539		
USD ADVISOR	USD	IE00B6ZNY252		

Please note that the Classes are subject to minimum initial subscription amounts, subsequent subscription amounts and minimum holding amounts outlined in the table below.

SHARE CLASS	CURRENCY	ISIN	MINIMUM INITIAL SUBSCRIPTION AMOUNT	MINIMUM HOLDING AMOUNT	MINIMUM SUBSEQUENT SUBSCRIPTION AMOUNT	MINIMUM REDEMPTION AMOUNT
USD INVESTOR	USD	IE00B746L328	\$25,000	None	None	None
USD INSTITUTIONAL	USD	IE000CSTCY83	\$10,000,000	\$10,000,000	None	None
GBP INVESTOR	GBP	IE00B61ZVB30	£25,000	None	None	None
GBP INSTITUTIONAL	GBP	IE000WSZ17Z4	£10,000,000	£10,000,000	None	None
EUR INVESTOR	EUR	IE00B6ZCS539	€25,000	None	None	None
USD ADVISOR	USD	IE00B6ZNY252	\$25,000	None	None	None

### BANK REMITTANCE INFORMATION

	USD	EUR	GBP
Intermediary Bank Name	SOCIETE GENERALE NEW YORK		
Intermediary Bank Swift	SOGEUS33		
Final Beneficiary Bank Name	SGSS	SGSS	SGSS
Final Beneficiary Bank Swift	SOGEFRPPAFI	SOGEFRPPAFI	SOGEFRPPAFI
Reference	INVESTOR NAME	INVESTOR NAME	INVESTOR NAME
Account IBAN	FR7630003056050300114021809	FR7630003056050000114021824	FR7630003056050380114021805

Please note that each of the Fund's bank accounts listed above are held in France by its Depositary, Société Générale.

If your bank account is not in France, you may need to select that you are making an international payment.

It should then allow you to enter the relevant IBAN from the table above.

Please note that if your bank asks for the destination country, it is France and the ultimate beneficiary is Ranmore Global Equity Fund plc

Please specify the currency in which it is to be delivered, otherwise your bank may default to making a payment in EUR because it sees that the destination country is France. If you remit subscription amounts in the wrong currency, you are at risk of having them returned: the amount you receive back may be less than the amount you instructed due to your bank's charges and exchange rates they apply in the conversion.

If your bank asks for the address of the account, it is: Société Générale, 17 Cours, Valmy, Puteaux, France

**DETAILS OF EXPECTED INVESTMENT AND FREQUENCY**

We are required to gather an expected level of investment activity. Please note that you are not committed to adhere to the selections below, but they serve as a guide to enable the Fund to fulfil transaction monitoring obligations.

EXPECTED TOTAL INVESTMENT (USD OR CURRENCY EQUIVALENT AMOUNTS TO USD)	PLEASE TICK ONE	EXPECTED FREQUENCY OF INVESTMENTS	PLEASE TICK ONE
UP TO 50,000		ONCE-OFF	
50,000 – 100,000		AD-HOC	
100,000 – 250,000		ANNUALLY	
250,000 – 1M		MONTHLY	
1M – 10M		WEEKLY	
>10M		DAILY	

**INVESTOR'S BANK DETAILS (NOTE: THESE WILL BE USED FOR REDEMPTIONS)**

BANK NAME	
BANK ADDRESS	
ACCOUNT NAME	
SORT CODE	
ACCOUNT NUMBER	
SWIFT / ABA / FED / IBAN	
CURRENCY	

Please note that due to Anti-Money Laundering requirements, payments will only be made to the bank account of the registered investor. Any changes to above details will need to be verified by the Administrator.

**FINANCIAL ADVISOR FEES (APPLICABLE ONLY TO THE USD ADVISOR CLASS)**

NAME OF APPOINTED FINANCIAL ADVISOR	
REGULATORY AUTHORITY	
LICENCE NUMBER	
ONGOING TRAIL FEE (PER ANNUM)	0.5%

## DECLARATIONS AND SIGNATURES

By signing and completing this Application Form, I/We represent, warrant and undertake on a continuous basis to the Fund, the Manager, the Investment Manager and the Administrator as follows:

1. I/we certify that I/we have legal capacity to enter into this agreement. I/we warrant that I/we have the right and authority to make the investment pursuant to this Application Form and that I/we will not be in breach of any laws or regulations of any competent jurisdiction and I/we hereby indemnify the Fund, the Manager, the Investment Manager, the Administrator and other Shareholders for any loss suffered by them as a result of this warranty/representation not being materially accurate in every respect. I/We agree to provide these representations to the Fund, the Directors and the Manager at such times as either of them may request and to provide on request such certifications, documents or other evidence as the Fund, the Directors and/or the Manager may reasonably require to substantiate such representations;
2. If I am/we are acting as agent, representative or nominee for the account of a third party (the "Beneficial Owner"), I/we acknowledge and agree that the agreements, representations and warranties made by me/us herein are also made for and on behalf of (to the fullest extent possible) the Beneficial Owner and I/we represent and warrant that I/we have all requisite power and authority to execute this Application Form and the transactions contemplated hereby and that, in doing so, I/we will not be in breach of any laws or regulations of any competent jurisdiction;
3. I/we hereby acknowledge that I/we have received and considered the current Prospectus, (for UK investors only) the KIID, and the PRIIPs KID, that I am/we are applying for Shares of the Class(es) referred to in the Application Form on the terms and conditions set out in this Application Form, the Articles, the most recent Prospectus, (for UK investors only) the relevant KIID, and the PRIIPs KID. I/We undertake to observe and be bound by the provisions of the Articles and apply for the Shares issued in relation to this application to be entered in the register of Shareholders in my/our name(s);
4. I/We hereby irrevocably apply for such number of Shares at a price determined in accordance with the Prospectus. I/We acknowledge that the Fund reserves the right to reject any application in whole or in part for any or no reason and that no interest will be paid on any subscription monies returned;
5. I/We warrant that: (a) I/we understand and are willing to accept the risks of investing in the Fund and have the knowledge, expertise and experience in financial matters, and/or have taken independent advice where appropriate, to evaluate the risks of investing in the Fund and to make an informed decision with respect thereto; (b) I am/we are aware of the risks inherent in investing in the Shares and the method by which the assets of the Fund are held and/or traded;
6. I/We acknowledge that the Fund has afforded me/us the opportunity to ask questions and receive answers to my/our full satisfaction concerning the terms and conditions of this offering and to obtain any additional information to the extent the Fund possesses such information or could acquire it without unreasonable effort or expense, necessary to verify the accuracy of the information contained in the Prospectus;
7. I/We acknowledge that the Investment Manager may, in its sole discretion, (i) pay commission to financial intermediaries, as agreed under the section "Financial Advisor Fees" and (ii) that the Investment Manager may make additional payments at its discretion out of fee income received;
8. I/we will rely only on the advice of my/our own professional advisers in relation to all tax, legal and economic considerations in relation to or concerning my application and this Application Form and the proposed activities of the Fund;
9. I/we am/are purchasing Shares for investment purposes and solely for my/our own account and not with a view to nor present intention of reselling them, except in the case of redemption by the Fund of Shares;
10. I/we understand the methods of compensating the Manager and the Investment Manager and the risks associated with such methods;
11. I/We acknowledge and understand that I/we will receive written confirmation from the Administrator of the issue of any Shares within 1 Business Day following the relevant Dealing Day and that Shares will be issued in registered form with title to such Shares being evidenced by entries made in the Fund's register of Shareholders, without any share certificates or other documents of title being issued;
12. In order to enable you, the Manager and/or the Administrator to comply with local 'client due diligence' and 'anti-money laundering' (together, "CDD/AML") requirements under applicable legislation and regulations, I/we hereby:
13. have submitted to the Fund, the Manager or the Administrator with this Application Form complete and accurate certified copies of all CDD/AML verification documentation required to be provided by me/us in my/our capacity as a prospective Shareholder and, if applicable, CDD/AML relating to the Beneficial Owner in accordance with the CDD/AML guidance notes set out in the Appendix "Client Verification Requirements" and have indicated what documentation has been enclosed with my/our Application Form by 'ticking' the relevant boxes in the Appendix "Client Verification Requirements" in the sections applying to me/us; and acknowledge and understand that the Fund, the Manager and/or the Administrator may require additional verification documentation in order to comply with CDD/AML requirements from time to time and undertake to promptly provide to the Manager or the Administrator (as the case may be) any such documentation reasonably requested from time to time;
14. I undertake to notify the Fund, the Manager or the Administrator immediately of any change in my circumstances and/or status and/or any information or documents provided to the Fund, the Manager or the Administrator in connection with my application by way of this Application Form;

15. I/we hereby indemnify, and keep indemnified, the Fund, the Directors, the Manager, the Administrator, the Depositary, the Investment Manager and any other Shareholder (each an "Indemnified Party") against any and all claims, actions, liabilities, costs, or expenses of any kind arising or resulting from any misrepresentation or breach of any representation, warranty, acknowledgement, confirmation, undertaking or indemnity contained in this Application Form or from my failure to disclose any relevant details or provide the Fund, the Manager, the Administrator or the Investment Manager with all information requested by them;
16. I/we hereby indemnify and hold harmless the Indemnified Parties against any claims, demands, proceedings, liabilities, damages, losses, costs and expenses directly or indirectly suffered or incurred, arising from my/our holding of Shares in contravention of the dealing procedures as described in the Prospectus, including my/our failure to pay subscription money within the requisite deadline and/or my/our failure to transfer, or deliver for redemption, my/our Shares, if so directed by the Directors pursuant to the above or any other relevant provisions (including under paragraph "Compulsory Redemptions" of the Prospectus);
17. During the continuance of this Application Form and where I/we am registered as holder of any Shares, if I/we propose to transfer any of my Shares in the Fund, which is permitted under the provisions of the Articles and the Prospectus, I undertake to arrange for the transferee to become bound by the terms of, and assume all my obligations and liabilities under, this Application Form, by signing an adherence instrument in a form required or approved by the Administrator or the Fund, as if that transferee were named as the Shareholder;
18. Notwithstanding clause 17, I acknowledge that I will continue to be bound by the terms of this Application Form if the transferee fails to pay any amount when due under this Application Form;
19. Any notice or communication under this Application Form must be addressed to the relevant party at the address or email as stated in this Application Form;
20. This Application Form may only be varied or amended in writing signed by the Fund, the Manager, the Administrator and the relevant Shareholder;
21. If any term of this Application Form (or part of it) is held void or unenforceable then that term (or part of it) will be treated as severed from this Application Form and the validity of the remainder of that term and all other terms of this Application Form will not be affected in any way;
22. I/We acknowledge and understand that the Administrator will refuse to process redemption requests on non-cleared/verified accounts. In such circumstances, the redemption proceeds shall remain an asset of the Fund and the Shareholder will rank as a general creditor of the Fund until such time as the Administrator is satisfied that its anti-money laundering procedures have been complied with, following which redemption proceeds will be released. I/we acknowledge that the Fund may compulsorily redeem Shares in the event of delay or failure by me/us to produce any information required for anti-money laundering verification purposes;
23. I/We acknowledge and understand that the Directors have the power to compulsorily redeem Shares of an individual investor in certain circumstances, including where their holding is deemed to cause or likely to cause (in the opinion of the Directors), pecuniary, tax, fiscal, regulatory or other material disadvantages to the Fund, which may include circumstances where a Shareholder has not complied with applicable anti-money laundering rules and regulations;
24. This Application Form shall continue until ended by the mutual agreement of the parties or will automatically end, without prejudice to any accrued rights or claims at that time, on the redemption of all (but not part only of) my Shares by the Fund;
25. This Application Form is governed by and interpreted in accordance with the laws of Ireland and the Parties irrevocably submit to the non-exclusive jurisdiction of the Irish courts;
26. (For UK investors only) I /We confirm that I/we have read the KIID;
27. I /We confirm that I/we have read the PRIIPs KID;
28. I am / We confirm I/we are not US Person/s; and
29. I am / We confirm I/we are not resident or ordinarily resident in Ireland for the purposes of tax.
- Every applicant applying for Shares on the applicant's own behalf is hereby obliged to notify the Fund or an agent of the Fund appointed for this purpose, as the case may be, in writing if the applicant is or becomes resident or ordinarily resident in Ireland. An individual is ordinarily resident in Ireland if the individual has been resident in Ireland for each of the 3 preceding years of assessment (i.e. calendar years) and that individual continues to be ordinarily resident in Ireland until the individual has not been resident in Ireland in each of the 3 preceding years of assessment.
  - Every applicant applying for Shares on behalf of another person is hereby obliged to notify in writing the Fund or an agent of the Fund appointed for this purpose, as the case may be, if the applicant is, or becomes, aware that any person who is beneficially entitled to any of those Shares may be resident or ordinarily resident in Ireland or may have become resident in Ireland. An individual is ordinarily resident in Ireland if the individual has been resident in Ireland for each of the 3 preceding years of assessment (i.e. calendar years) and that individual continues to be ordinarily resident in Ireland until the individual has not been resident in Ireland in each of the 3 preceding years of assessment.

## DATA PRIVACY NOTICE

In accordance with the Data Protection Legislation, the Fund being the data controller for the purposes of this Application Form, must provide you with information on how the personal data that you provide as part of your application to subscribe for Shares will be processed.

Where your details are provided to the Fund as a consequence of your investment in the Fund, then the Fund, acting as a data controller may itself (or through a third party, such as the Manager or the Administrator) process your personal information or that of your directors, officers, employees and/or beneficial owners. When processing your personal information, there may also be times where the Manager and/or the Administrator will act as a data controller. In connection with this, please note the following:

### 1. Contact details

The Fund, as data controller, has appointed the Manager and the Administrator as data processors. The Fund can be contacted via the Administrator at the following address:

Ranmore Global Equity Fund Plc (the "Fund")  
Ranmore Client Service Team  
c/o Apex Fund Services (Ireland) Limited  
2<sup>nd</sup> Floor, Block 5  
Irish Life Centre  
Abbey Street Lower  
Dublin D01 P767  
Ireland

Tel: +353 1 411 2949

Fax: +353 1 411 2948

### 2. Purposes of processing and legal basis for processing

The personal data collected from you or provided by you or on your behalf in connection with your application for Shares may be processed by the Fund, the Manager or the Administrator (or any of their affiliates, agents, employees, delegates or sub-contractors) for the following purposes:

#### *Performance of the contract*

- To facilitate the opening of your account with the Fund, the management and administration of your holdings in the Fund and any related account on an on-going basis (the "Services") which are necessary for the performance of your contract with the Fund, including without limitation the processing of redemption, conversion, transfer and additional subscription requests and the payment of distributions;
- To update and maintain records and fee calculation; and
- Circulating periodic reports relating to the Fund.

#### *Compliance with legal obligation*

- In order to carry out anti-money laundering checks and related actions which the Fund considers appropriate to meet any legal obligations imposed on the Fund relating to the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an ongoing basis, in accordance with the Fund's, the Manager's and the Administrator's anti-money laundering procedures; and
- To report tax related information to tax authorities in order to comply with a legal obligation.

#### *Pursuing the legitimate interests of the Fund including:*

- In relation to the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic and trade sanctions, on an on-going basis, in accordance with the Fund's, the Manager's and the Administrator's anti-money laundering procedures;
- Carrying out statistical analysis and market research;
- Recording, maintaining, storing and using recording of telephone calls that you make to and receive from the Fund, the Manager, the Administrator, or the Investment Manager and their delegates or duly appointed agents and any of their respective related, associated or affiliated companies for (i) processing and verification of instructions, (ii) investigation and fraud prevention purposes, (iii) for crime detection, prevention, investigation and prosecution, (iv) to enforce or defend the Fund and its affiliates' rights itself or in order to comply with any legal obligation imposed on the Fund, (v) to pursue the Fund's legitimate interests in relation to such matters or (vi) where the processing is in the public interest;
- To monitor and record calls for quality, business analysis, training and related purposes in order to pursue the legitimate interests of the Fund to improve its service delivery;
- To disclose information to third parties such as service providers of the Fund, auditors, regulatory authorities and technology providers; and
- To retain AML and other records of individuals to assist with the subsequent screening of them by the Administrator including in relation to other funds or clients of the Administrator in pursuance of the Administrator's and its clients' legitimate interests.

**Please note that where personal data is processed for purposes of legitimate interests, you have a right to object to such processing and the Fund will no longer process the personal data unless the Fund can demonstrate compelling legitimate grounds for the processing which override your interests, rights and freedoms or for the establishment, exercise or defence of legal claims.**

#### *Consent*

Your consent is not required to process your personal data for the purposes referenced above. However, in order to process your personal data for the purposes of direct marketing, the Fund seeks your consent. Please see the section below which deals exclusively with consent for that purpose.

### 3. Consent to Direct Marketing

From time to time, one or more of the Fund and/or its delegates and/or its other service providers (which may include, without limitation, the Manager, the Administrator, the Investment Manager and the Depositary) may send you information about other products and services that they offer by letter, by telephone, by email or by other reasonable means of communication. You have the right not to receive such information.

If you consent to receive such information and/or marketing material, **please tick the below box:**

You have a right to withdraw this consent at any time. However, your withdrawal of consent will not affect the lawfulness of processing based on consent before its withdrawal. You can withdraw your consent by contacting the Fund c/o the Administrator at the address above. You also have a right to object to the processing of your personal data for direct marketing purposes.

### 4. Profiling and Screening

The Fund and its appointed data processors engage in OFAC and politically exposed person screening for the purposes of complying with anti-money laundering and counter terrorist financing legislation and with UN, EU and other applicable sanctions regimes.

### 5. Disclosures to Data Processors and/or Third Parties

The Fund may disclose your personal information as follows:

- To third parties identified in the Prospectus and to entities that are companies within the same group of companies as such third parties in order to process the data for the above mentioned purposes. These third parties will handle your information in accordance with applicable Data Protection Legislation;
- To other third parties such as the auditors to the Fund or agents of the Manager and/or the Administrator who process the information for anti-money laundering purposes or for compliance with foreign regulatory requirements; and
- To competent authorities (including tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting.

### 6. Transfers abroad

The disclosure of personal information to the third parties set out above may involve the transfer of data to India and other jurisdictions outside the European Economic Area (EEA) in accordance with the requirements of the Data Protection Legislation. Such countries may not have the same data protection laws as your jurisdiction. The Fund has authorised the Administrator as its agent to put in place Standard Contractual Clauses with relevant parties to whom personal data will be transferred. Please contact the Administrator for copies of the Standard Contractual Clauses that have been entered into on behalf of the Fund.

### 7. Retention period

The Fund, the Manager and the Administrator will retain your personal information for as long as required for the Fund, the Manager or the Administrator to perform the Services or perform investigations in relation to same depending on whether additional legal/regulatory obligations mandate that the Fund, the Manager or the Administrator retain your personal information.

### 8. Your data protection rights

Please note that you have the following rights under the Data Protection Legislation in relation to your personal information. In each case, the exercise of these rights is subject to the provisions of the Data Protection Legislation:

- You have a right of access to and the right to amend and rectify your personal data;
- You have the right to have any incomplete personal data completed;
- You have a right to lodge a complaint with a supervisory authority, in particular in the Member State of your habitual residence, place of work or place of the alleged infringement if you consider that the processing of personal data relating to you carried out by the Fund infringes the Data Protection Legislation;
- You have a right to request that your personal information is erased (in certain specific circumstances);
- You have a right to restrict processing (in certain specific circumstances);
- You have a right to data portability (in certain specific circumstances); and
- You also have the right to object to processing where personal data is being processed for marketing purposes and also where the Fund is processing personal data for legitimate interests.

### 9. Failure to provide personal data

The provision by you of personal data, as outlined in the section above titled "Purposes of processing and legal basis for processing" is required for us to accept your subscription application and manage and administer your holdings in the Fund and so that we can comply with the legal, regulatory and tax requirements referenced above. Where you fail to provide such personal data we will not be able to accept your application or to the extent that we do accept your application and the required personal data is not provided with the specified timeframe we may be required to discontinue our business relationship with you.



**For completion by South African investors**

I am aware that the Effective Annual Cost (EAC), Total Expense Ratio (TER) and Transaction Costs (TC) calculations, as prescribed by the Association for Savings and Investments in South Africa (ASISA), have been made available to me at [www.ranmorefunds.com](http://www.ranmorefunds.com) and further details may be requested by contacting [clientservices@ranmorefunds.com](mailto:clientservices@ranmorefunds.com).

**Risk Warning**

Collective investment schemes in securities ("CIS") should be considered as medium to long-term investments. The value may go up as well as down and past performance is not necessarily a guide to future performance. CISs are traded at the ruling price and can engage in scrip lending and borrowing.

A schedule of fees, charges and maximum commissions is available in (for UK investors only) the KIID, the PRIIPs KID and on request from the Investment Manager or the Manager. There is no guarantee in respect of capital or returns in a portfolio. A CIS may be closed to new investors in order for it to be managed more efficiently in accordance with its mandate. CIS prices are calculated on the Net Asset Value basis, which in relation to any Class is the Net Asset Value divided by the number of Shares in the relevant Class in issue or deemed to be in issue at the relevant Valuation Point subject to such adjustments, if any, as may be required in relation to any Shares or Class. The Net Asset Value of the Fund is comprised of all the assets in its portfolio including any income accruals less any permissible deductions (including brokerage, STT, VAT, auditor's fees, bank charges service providers' fees, including those of the Depositary, Manager, Administrator and the Investment Manager) from the portfolio. Forward pricing is used. Where foreign securities are included in a portfolio there may be potential constraints on liquidity and the repatriation of funds, macroeconomic risks, political risks, foreign exchange risks, tax risks, settlement risks; and potential limitations on the availability of market information. The investor acknowledges the inherent risk associated with the selected investments and that there are no guarantees. For further details on risks, please see "Risk Factors" in the Prospectus.

**Complaints procedure**

If you are not satisfied with the services received from Ranmore Global Equity Fund plc or Ranmore Fund Management Ltd, please submit a complaint to the Compliance Officer at Ranmore Fund Management Ltd at [clientservices@ranmorefunds.com](mailto:clientservices@ranmorefunds.com). If an investor is not satisfied with the response received, the complainant has the right to address his or her complaint in relation to Ranmore Global Equity Fund plc to the Financial Services Ombudsman at 3<sup>rd</sup> Floor, Lincoln House, Lincoln Place, Dublin 2, Ireland, or, in relation to a complaint in relation to Ranmore Fund Management Ltd, to the Financial Ombudsman Service, Exchange Tower, London, E14 9SR.

SIGNATURES		
<b>Name</b>	<b>Signature</b>	<b>Date</b>
<b>Name</b>	<b>Signature</b>	<b>Date</b>

**APPENDIX 1 – CLIENT VERIFICATION REQUIREMENTS (SOUTH AFRICAN INVESTORS PLEASE REFER TO APPENDIX 2)**

The Administrator, on behalf of the Fund, is required to collate certain documents in order to comply with Anti-Money Laundering laws.

The lists are for guidance purposes and the Administrator may request further documentation in order to fulfil its obligations.

The documents will typically not need to be certified. However, where the Administrator deems the investor to be “high-risk”, the Investor may be required to have them certified by a third party. In such circumstances, the Administrator will advise what is required to satisfy its certification requirements.

**PART 1 – INDIVIDUALS/ALL JOINT HOLDERS**

	Certified copy of valid ID document (passport / driver’s licence / other form of Government-issued photo ID) bearing a clear picture, expiry date and signature
	Certified copy of a residential address dated within the last six months, in the form of a household utility bill or bank statement

**APPENDIX 2 – CLIENT VERIFICATION REQUIREMENTS SOUTH AFRICAN INVESTORS**

The Administrator, on behalf of the Fund, is required to collate certain documents in order to comply with Anti-Money Laundering laws.

The lists are for guidance purposes and the Administrator may request further documentation in order to fulfil its obligations.

**PART 1 – INDIVIDUALS/ALL JOINT HOLDERS**

	Certified true copy of valid ID document (passport / driver’s licence / other form of Government-issued photo ID) bearing a clear picture, expiry date and signature
	Certified true copy of a residential address dated within the last six months, eg in the form of a household utility bill
	Certified true copy of bank statement (not older than 6 months)
	Certified copy of source of wealth to enable investment (eg payslip, proof of inheritance)

**PART 2 - CERTIFICATION**

Certification requires photocopies being taken of the original document (ie original passport, original utility bill etc) and then a certifier (please see below for list of suitable certifiers) compares the copies to the original and writes on the copies:

**Utility bill/bank statement/source of wealth**

*I certify that this is a copy of the original document – as seen by me* and then they write:

- Their name
- Date
- Position
- Contact number
- Email

**Passport/proof of ID**

*I certify that this a copy of the original document – as seen by me – and is a true likeness of the individual\** and then they write:

- Their name
- Date
- Position
- Contact number
- Email

\*Additional wording in the case of old SA identification card:

*The bearer of the document has signed in my presence*

Apex’s current list of acceptable certifiers:

- Notary Public
- Embassy/Consular Staff
- Commissioner of Oaths (which register number is available)
- Justice of the Peace
- Lawyer or solicitor
- Chartered & Certified Public Accountants
- Director or authorized signer of a Regulated entity in an Approved jurisdiction

### PART 3 – SENDING OF ORIGINALS

The completed Application Form\* and client verification documentation outlined in PART 1 must be sent to Apex at [apexta@apexgroup.com](mailto:apexta@apexgroup.com) by the Cut-Off Time. This may be in pdf format.

However, Apex also requires the original (“wet ink”) copies to be posted. Apex will not make any future redemption payments until it is in receipt of the originals.

At your earliest convenience following confirmation from Apex that your account is open, please post the original (“wet ink”) versions of this completed Application Form and client verification documents to Apex at either of the following addresses:

**Johannesburg Office:**

Apex Group Ltd (formerly Sanne)  
50 Katherine St  
Wierda Valley  
Sandton  
2196  
South Africa

**Cape Town Office:**

Apex Group Ltd (formerly Sanne)  
Pier Place,  
Heerengracht Street  
Foreshore  
Cape Town  
South Africa

SOURCE OF FUNDS AND SOURCE OF WEALTH DECLARATION FORM

Where required under local laws or regulations, Apex may need to gather information on your source of funds and your source of wealth. Hence, in order to comply with relevant anti-money laundering legislation in certain jurisdictions, prior to completing the proposed transaction, Apex is obliged to obtain information about the origin of your funds being used for the investment, as well as information about the source of your wealth in certain cases. Apex may also require additional documentary evidence as explained below (see Section C).

Please do not give generic responses such as "employment" or "inheritance," instead please provide specific details. Apex reserves the right to request more information from you should your responses in this declaration not satisfy our anti- money laundering regulatory obligations.

INVESTOR

NAME	
VALUE OF INVESTMENT	
NATURE OF INVESTMENT (eg, personal investment)	
NATURE OF RELATIONSHIP (eg, and relationship with the Fund or its Investment Manager (I.e. family, friends etc.))? Indicate 'N/A' if nothing to report	
EXPECTED TENURE OF INVESTMENT	<input type="checkbox"/> Up to 3 years
	<input type="checkbox"/> Between 3 and 5 years
	<input type="checkbox"/> More than 5 years

SOURCE OF FUNDS

Please provide below the information on your source of funds e.g., dividends from a business, salary from professional employment, profit from the sale of investments. Please provide as much detail as possible to identify and explain your source of funds.

*For example:*

<i>Dividends</i>	<i>Provide the name of the business, the period of time you have held the investment, the amount of your investment</i>
<i>Employment</i>	<i>Provide the name of your employer, your position, and the duration of your employment as well as your annual salary</i>

Please describe fully below the source of funds:

**SOURCE OF WEALTH**

Your source of wealth can be described as the activities which have generated your overall wealth and are distinct from your source of funds. Your overall wealth may have been accumulated from several different sources over a period of time and full details must be provided to avoid any delay in processing your application.

For example:

<i>Family Inheritance:</i>	<i>Provide names, relationships, dates, jurisdiction of probate and amount.</i>
<i>Entrepreneurial:</i>	<i>Provide full details of companies, business activities, place(s) of business, website addresses and details of return on investment</i>
<i>Employment:</i>	<i>Provide details of employer, positions held, details of salary and bonuses</i>

Please describe below your total wealth and the source of your wealth:

**DOCUMENTARY EVIDENCE OF SOURCE OF FUNDS AND/OR SOURCE OF WEALTH**

In certain circumstances, to meet our regulatory obligations, we are required to obtain documentary evidence to verify source of funds and source of wealth. We will advise you should such documentary evidence be required.

It is not possible to describe all situations where we will require documentary evidence, however we are able to indicate those situations where documentary evidence will most likely be required, and have provided details below (not an exhaustive list):-

- If you are classified as Politically Exposed Person (“PEP”<sup>1</sup>); and/or
- Located in high-risk countries and other monitored jurisdictions on FATF website<sup>2</sup> having deficiency in AML laws & regulations

*Note: Should you wish to confirm prior to submission of your completed Declaration if documentary evidence regarding source of funds/source of wealth is required, please contact your concerned customer/investor relationship management team at APEX (apexta@apexgroup.com)*

*Important: If documentary evidence has been requested and you are unable to provide any, could you please contact your concerned customer/investor relationship management team at APEX prior to sending in the completed Declaration.*

**THIRD-PARTY COMPLETION (Please confirm the name and capacity of the person who completed this Source of Funds and Source of Wealth Declaration Form on your behalf (if applicable))**

<b>Name and capacity</b>	<b>Signature</b>	<b>Date</b>

**DECLARATION BY APPLICANT / INVESTOR**

I declare that the information provided is, to the best of my knowledge and belief, accurate and complete.  
If I did not complete this form, I hereby confirm that the individual who completed the form on my behalf was in possession of sufficient information to allow for its proper completion

**SIGNATURES**

<b>Name</b>	<b>Signature</b>	<b>Date</b>
<b>Name</b>	<b>Signature</b>	<b>Date</b>

**EXAMPLES OF DOCUMENTARY EVIDENCE**

Examples of acceptable details/information and documentary evidence to support your declaration of source of funds and source of wealth.

Description of Source of Funds / Source of Wealth	Information Required	Acceptable Documentary Evidence (in original or certified true copy)
Income savings from professional activity  <i>Note: if self-employed or company share owner, please refer to Company profits below</i>	<ul style="list-style-type: none"> <li>● Profession</li> <li>● Salary per annum</li> <li>● Name of the employer</li> <li>● Nature of business</li> </ul>	One of the following: <ul style="list-style-type: none"> <li>● Payslip</li> <li>● Letter from the employer confirming the salary (headed paper)</li> <li>● Bank statement showing receipt of salary</li> </ul>
Gift	<ul style="list-style-type: none"> <li>● Date received</li> <li>● Total amount</li> <li>● Relationship to investor</li> <li>● Letter from donor explaining the reason for the gift and the source of donor's wealth</li> <li>● Certified identification documents for donor</li> <li>● Donor's source of wealth</li> </ul>	<ul style="list-style-type: none"> <li>● Documentary evidence of the donor's source of wealth as detailed in this table</li> </ul>
Maturity or surrender of life policy	<ul style="list-style-type: none"> <li>● Amount received</li> <li>● Policy provider</li> <li>● Policy number/reference</li> <li>● Date of surrender</li> </ul>	One of the following: <ul style="list-style-type: none"> <li>● Closing statement</li> <li>● Letter from insurance confirming the payment</li> </ul>
Sale/liquidation of investments	<ul style="list-style-type: none"> <li>● Description of shares/units/deposit</li> <li>● Length of the investment</li> <li>● Name of the seller</li> <li>● Sale amount</li> <li>● Date of liquidation/sale</li> </ul>	One of the following: <ul style="list-style-type: none"> <li>● Investment Certificate/Contract Note/statement</li> <li>● Bank statement showing the redemption proceeds incoming</li> <li>● Signed letter from regulated accountant on headed paper</li> </ul>

Sale of property	<ul style="list-style-type: none"> <li>● Sold property details</li> <li>● Date of sale</li> <li>● Total sale amount</li> </ul>	<ul style="list-style-type: none"> <li>● Letter from solicitor or regulated accountant</li> <li>● Copy of the sale contract</li> </ul>
Company Sale	<ul style="list-style-type: none"> <li>● Name and nature of the company</li> <li>● Date of sale</li> <li>● Total amount</li> </ul>	<ul style="list-style-type: none"> <li>● Letter from solicitor detailing company sale</li> <li>● Copy of sale contract and bank statement showing the proceeds</li> <li>● Copy of media coverage as supporting evidence (if applicable)</li> </ul>
Company profits	<ul style="list-style-type: none"> <li>● Name and address of the company</li> <li>● Nature of the company</li> <li>● Amount of annual profit</li> </ul>	<ul style="list-style-type: none"> <li>● Financial statement</li> <li>● Copy of the latest audited company accounts containing equivalent transparency as the financial statement</li> <li>● Confirmation on the nature of the activity and nature of the client generating the company profit from regulated accountant/appointed auditor (only PwC, KPMG, EY, Deloitte)</li> </ul>
Inheritance	<ul style="list-style-type: none"> <li>● Name of the deceased</li> <li>● Date of death</li> <li>● Relationship to the investor</li> <li>● Date proceeds were received</li> <li>● Total amount</li> <li>● Solicitor's details</li> </ul>	<p>One of the following:</p> <ul style="list-style-type: none"> <li>● Grant of probate</li> <li>● Signed letter from solicitor or estate trustee on headed paper</li> <li>● Last will</li> </ul>
Divorce Settlement	<ul style="list-style-type: none"> <li>● Date received</li> <li>● Total amount received</li> <li>● Name of the divorced partner</li> </ul>	<p>One of the following:</p> <ul style="list-style-type: none"> <li>● Copy of court order</li> <li>● Letter from solicitor detailing divorce settlement</li> </ul>
Retirement Income	<ul style="list-style-type: none"> <li>● Retirement date</li> <li>● Details of previous occupation</li> <li>● Name and address of last (final) employer</li> <li>● Detail of pension income source</li> </ul>	<p>One of the following:</p> <ul style="list-style-type: none"> <li>● Pension statement</li> <li>● Bank statement showing receipt of the pension income</li> <li>● Saving account statement</li> <li>● Letter from the paying institution</li> </ul>
Employer Premium	<ul style="list-style-type: none"> <li>● Employer letter</li> <li>● Country of incorporation</li> </ul>	<ul style="list-style-type: none"> <li>● Employer letter on headed paper confirming the amount to be paid</li> <li>● Copy of last audited company account</li> </ul>
Fixed deposit - saving	<ul style="list-style-type: none"> <li>● Name of institution where the savings are held</li> <li>● Date of the account establishment</li> <li>● Details on how the savings were acquired as per this table</li> </ul>	<ul style="list-style-type: none"> <li>● Saving statement</li> <li>● Evidence of account opening date (letter from account provider or first statement)</li> </ul>
Dividend payment	<ul style="list-style-type: none"> <li>● Date of received of dividends</li> <li>● Total amount received</li> <li>● Name of the paying company</li> <li>● Length of the shares being held</li> </ul>	<p>One of the following:</p> <ul style="list-style-type: none"> <li>● Dividend contract note</li> <li>● Bank statement clearly showing the income from the paying company</li> <li>● Letter detailing dividend details signed by regulated accountant</li> <li>● Set of company accounts showing the dividend details</li> </ul>

INDIVIDUAL (INCLUDING CONTROLLING PERSONS) SELF-CERTIFICATION FOR FATCA AND CRS

INSTRUCTIONS FOR COMPLETION AND DATA PROTECTION NOTICE

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this form you are providing personal information which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1998 to 2003). Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners.. They may in turn exchange this information, and other financial information, with foreign tax authorities, including tax authorities outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority. For further information on FATCA or CRS please refer to the Irish Revenue or OECD websites at:

<http://www.revenue.ie/en/business/aeoi/index.html>

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard> in the case of CRS only.

If any of the information below about the account holder's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint account holders **each account holder** is required to complete a separate Self-Certification form.

**Sections 1,2,3 and 5** must be completed by all Account holders or Controlling Persons.

**Section 4** should only be completed by any individual who is a Controlling Person of an entity account holder which is a Passive Non-Financial Entity, or a Controlling Person of an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.

(Mandatory fields are marked with an \*)

SECTION 1: ACCOUNT HOLDER/CONTROLLING PERSON IDENTIFICATION \*

Account Holder/Controlling Person name \*

CURRENT RESIDENTIAL ADDRESS \*

Number/Name

Street

City/Town/State/Province or County

Postal/ZIP Code

Country

MAILING ADDRESS (IF DIFFERENT FROM ABOVE)

Number/Name

Street

City/Town/State/Province or County

Postal Code

Country

PLACE OF BIRTH \*

Town or City of Birth \*

Country of Birth \*

Date of Birth \* (dd/mm/yyyy)



**SECTION 2: FATCA DECLARATION OF U.S. CITIZENSHIP OR U.S. RESIDENCE FOR TAX PURPOSES \***

I confirm that **I am not** a U.S. citizen or resident in the U.S. for tax purposes.

**SECTION 3: COMMON REPORTING STANDARD (CRS) DECLARATION OF TAX RESIDENCY/RESIDENCIES (PLEASE CONFIRM ALL TAX RESIDENCIES)**

Please indicate your country of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TINs")).

For further guidance on Tax Residence and TINs, please refer to the OECD CRS Information Portal <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/#d.en.347759>

**NOTE:** Under Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

- (a) You are tax resident in a Jurisdiction that does not issue a TIN, **or**
- (b) You are tax resident in a non-reportable Jurisdiction (i.e. Ireland or the USA)

Country of Tax Residency	Tax ID Number	If TIN unavailable, select (A, B or C) and check box below

If TIN is **unavailable**, please provide the appropriate box as follows:

	<b>Reason A</b> – The country/jurisdiction where the Shareholder is resident does not issue TINs or TIN equivalents to its residents
	<b>Reason B</b> – The Shareholder is otherwise unable to obtain a TIN (Please explain why you are unable to obtain a TIN)
	<b>Reason C</b> – No TIN is required. (Note: only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

**SECTION 4: TYPE OF CONTROLLING PERSON**

(**ONLY** to be completed by any individual who is a Controlling Person of an entity which is a Passive NFE or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution).

For joint or multiple Controlling Person(s), please complete a separate "Individual (including Controlling Persons) Self-Certification for FATCA and CRS" form for each Controlling Person.

Please Confirm what type of Controlling Person applicable under CRS that applies to you/the Account holder by ticking the appropriate box.	Please tick	Entity Name
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		
Controlling Person of a trust - settlor		
Controlling Person of a trust – trustee		
Controlling Person of a trust – protector		
Controlling Person of a trust – beneficiary		
Controlling Person of a trust – other		

Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent		
Controlling Person of a legal arrangement (non-trust) – other-equivalent		

#### SECTION 5: DECLARATIONS AND UNDERTAKINGS

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

#### Data Protection – Customer Information Notice:

The Common Reporting Standard (“CRS”), formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information (“AEOI”), developed in the context of the Organisation for Economic Co-operation and Development (“OECD”).

The standard requires that Financial Institutions in participating jurisdictions gather certain information from account holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders).

Under CRS, account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such account holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder’s resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: <http://oecd.org/tax/automatic-exchange/> and on the Irish Revenue website <http://www.revenue.ie/en/companies-and-charities/international-tax/aeoi/index.aspx>

Authorised signature *	
Print Name *	
Date (dd/mm/yyyy) *	
Capacity (if Controlling Person) *	